

#5

**OLIFF & BERRIDGE, PLC**

ATTORNEYS AT LAW

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WWW.OLIFF.COM**U.S. PATENT AND TRADEMARK OFFICE  
FACSIMILE TRANSMISSION COVER SHEET**

March 7, 2003

To: In re the Application of Daniel KOPF	<b>FOR FILING IN THE U.S. PATENT AND TRADEMARK OFFICE</b>
Application No.: 09/980,458	
Filed: February 25, 2002	Docket No.: 111363
For: OPTICAL SYSTEM FOR LASERS	
To: PCT Legal	Facsimile: (703) 308-6459
From: Cody McAtee	
Prepared By: cmm	Number of Pages Sent (Including cover sheet): 9

**Comments:**

I was told by Erin from the PCT Branch that the Response to Notification of Missing Requirements and Declaration filed on 2-25-02 is not in the file for 111363. As a result, the Notification of Acceptance has not been issued. She asked that I fax a copy of what we filed to PCT Legal. Attached is a date-stamped postcard receipt showing receipt in the PTO. Please call me at 703-836-6400 (ext. 262) if you have any questions or need any more information.

Thank you,  
Cody McAtee

Sent by: \_\_\_\_\_

This facsimile is intended only for the use of the U.S. Patent and Trademark Office and contains confidential information. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are notified that any review, dissemination, distribution or copying of this facsimile is prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or telephone, and return the facsimile to us by mail at the above address.

RECEIVED

07 MAR 2003

Legal Staff  
International Division

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Daniel KOPF

Application No.: 09/980,458

Filed: February 25, 2002

Docket No.: 111363

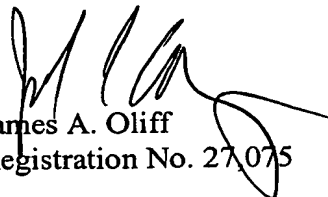
For: OPTICAL SYSTEM FOR LASERS

**RESUBMISSION OF RESPONSE TO NOTIFICATION OF MISSING  
REQUIREMENTS**Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

We are attaching a copy of our Response to Notification of Missing Requirements, filed February 25, 2002. Attached is a copy of the date-stamped postcard indicating receipt of the response in the U.S. Patent and Trademark Office. The Declaration attached is a true and accurate copy. We request that the response be processed and the Notification of Acceptance and Official Filing Receipt be processed immediately.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/cmm

Date: March 7, 2003

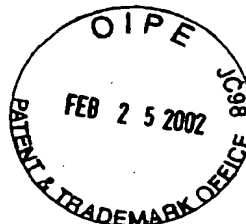
**OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400**

**PTO RECEIPT FOR FILING OF PAPERS****The following papers have been filed:**

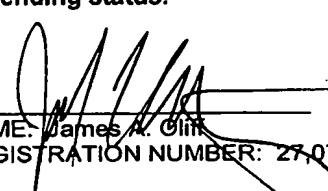
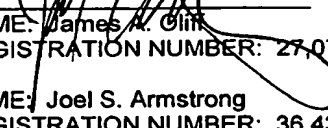
PCT Trans. Ltr. &amp; Ck 128165 \$65, Resp. NOMR, copy of NOMR, Decl.

**Name of Applicant:** Daniel KOPF**Serial No.:** 09/980,458**Atty. File No.:** 111363**Title (New Cases):****Sender's Initials:** JAO/cmm

201/24

**PATENT OFFICE DATE STAMP****COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 111363
		U.S. APPLICATION NO. (If known, sec 37 C.F.R.1.5) 09/980,458
INTERNATIONAL APPLICATION NO. PCT/EP00/05203	INTERNATIONAL FILING DATE June 7, 2000	PRIORITY DATE CLAIMED June 11, 1999
TITLE OF INVENTION OPTICAL SYSTEM FOR LASERS		
APPLICANT FOR DO/EO/US Daniel KOPF		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment.</li> <li>      <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input checked="" type="checkbox"/> Entitlement to small entity status is hereby asserted.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: Response to Notification of Missing Requirements</li> </ol>		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/980,458		INTERNATIONAL APPLICATION NO. PCT/EP00/05203		ATTORNEY'S DOCKET NUMBER 111363			
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO ....\$890.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$710.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b>		<b>PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00			
Claims	Number Filed	Number Extra	Rate				
Total Claims	- 20 =		X \$ 18.00	\$			
Independent Claims	- 3 =		X \$ 84.00	\$			
Multiple dependent claim(s)(if applicable)			+ \$280.00	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$130.00			
Reduction by 1/2 for filing by small entity, if applicable.				-		\$65.00	
<b>SUBTOTAL =</b>				\$65.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				+		\$	
<b>TOTAL NATIONAL FEE =</b>				\$65.00			
				Amount to be refunded		\$	
				Charged		\$	
a. <input checked="" type="checkbox"/> Check No. <u>128165</u> in the amount of <u>\$65.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.							
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>							
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320							
Date: <u>February 25, 2002</u>				 NAME: James A. Oliff REGISTRATION NUMBER: 27,075   NAME: Joel S. Armstrong REGISTRATION NUMBER: 36,430			

**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

Daniel KOPF

Attn: PCT Branch

Application No.: 09/980,458

Docket No.: 111363

Filed: February 25, 2002

For: OPTICAL SYSTEM FOR LASERS

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C 371 IN THE UNITED STATES DESIGNATED/  
ELECTED OFFICE (DO/EO/US) WITH DECLARATION**Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

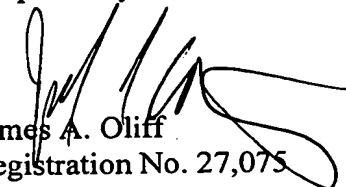
In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on January 28, 2002, submitted herewith is the executed Declaration of the inventor(s). Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 128165 for ☐ \$130.00 ☒ \$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.492(e).

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notification of Missing Requirements. Accordingly, prompt issuance of a Notification of Acceptance and Official Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/cmm

Date: February 25, 2002

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

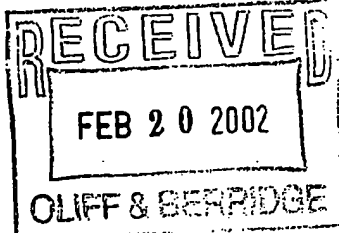
<b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/980,458	FIRST NAMED APPLICANT Daniel Kopf	ATTY. DOCKET NO. 111363
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 Oliff & Berridge  
 PO Box 19928  
 Alexandria, VA 22320

INTERNATIONAL APPLICATION NO. PCT/EP00/05203	
IA. FILING DATE 06/07/2000	PRIORITY DATE 06/11/1999

CONFIRMATION NO. 6448

371 FORMALITIES LETTER



\*OC000000007345156\*

 March 18, 2002  
 Missing Parts

Date Mailed: 01/28/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

**DOCKETED**  
 By [Signature] on 2/20 2002  
 By WJN and [Signature] on 2/20 2002  
 Oliff & Berridge

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,458	PCT/EP00/05203	111363



\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

TX/RX NO	5198
CONNECTION TEL	7038362787
CONNECTION ID	OLIFF & BERRIDGE
START TIME	03/07(FR)15:55
USAGE TIME	07'03"
PGS.	9
RESULT	OK